

April 2020

SFO investigation - London Capital and Finance Plc

Investor Frequently Asked Questions

Stage of the investigation

What is the current stage of the investigation?

Due to the nature of the case and for legal reasons, we are unable to provide investors with all the information they might want. We do, however, ask that you regularly check the website for updates

[SFO website's London Capital & Finance case page](#)

(<https://www.sfo.gov.uk/cases/london-capital-finance-plc/>).

How long will this investigation take?

The SFO only take on the most complex cases. The investigation into London Capital & Finance Plc involves reviewing a large volume of potentially evidential material and working across different jurisdictions. It is essential to build a strong case to prove at court, which is why it can take a number of years. We endeavour to progress the investigation as expeditiously as possible and ask you to please be patient. As stated above, all updates can be found on the

[SFO website's London Capital & Finance case page](#)

(<https://www.sfo.gov.uk/cases/london-capital-finance-plc/>).

Evidence and contacting the SFO

When should I contact the SFO?

If we need to speak with you, we will make contact with you. For now all we ask is that you only update us if your personal contact details have changed, [through this secure form](#) (<https://operation-axite.egressforms.com/>), for example if you move house or have changed your phone number.

What should I do with documents relating to my investment?

Please retain ALL documents, including hard copy and electronic correspondence you have in relation to your investment(s) with LCF. At this point, we just ask you to keep this material safe. If we require your evidence, someone from our case team will contact you directly and arrange with you the best and most secure way of getting it to us.

Can I email my documents to the SFO?

When we require documents, we will contact you directly and arrange for this to be sent securely. Please do not email your documents to us as it may contain personal data and could potentially put you at risk to spam, phishing scams and other attacks.

Should I be sharing my information with other investors?

We appreciate that there are a number of investor support networks and groups across various online platforms. The case team advises against sharing any personal information which could put you at risk, by presenting opportunities for fraudsters to obtain your personal details and target you. This can also prejudice the investigation and may compromise you as a witness. For more information go to [Get Safe Online \(https://www.getsafeonline.org/\)](https://www.getsafeonline.org/).

I have come across information which may be useful to the SFO investigation, how can I share this?

Investors have sent us information that is freely available via the internet (e.g. Companies House website). The case team do monitor this type of information already and therefore do not require investors to send this information to us.

Your investment

Will I get my investment back?

The administrators Smith and Williamson will deal with the company's estate and will assess the company's assets. Please visit [Smith and Williamson's \(www.smithandwilliamson.com\)](http://www.smithandwilliamson.com) website for more information.

For further information on possible compensation from the financial services compensation scheme, please visit the [Financial Services Compensation Scheme website \(https://www.fscs.org.uk/failed-firms/lcf/\)](https://www.fscs.org.uk/failed-firms/lcf/).

Support and further information

Where can I find more information?

[Financial Conduct Authority](http://www.fca.org.uk) (FCA) website, FAQs section – www.fca.org.uk. Search LCF

[Financial Services Compensation Scheme](http://www.fscs.org.uk/failed-firms/lcf/) (FSCS) website has updated information and allows for email alerts – www.fscs.org.uk/failed-firms/lcf/

[Serious Fraud Office](http://www.sfo.org.uk/our-cases/) (SFO) website has an ‘our cases’ page - www.sfo.org.uk/our-cases/
Search LCF

[Smith and Williamson](http://www.smithandwilliamson.com) website (www.smithandwilliamson.com) under newsroom page, our latest updates, LCF.

Where can I get support?

Please follow the link to our [support services information](https://www.sfo.gov.uk/download/organisations-offering-emotional-support-and-practical-help/)
(<https://www.sfo.gov.uk/download/organisations-offering-emotional-support-and-practical-help/>).